



Handwritten initials and date: 12/1/03

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Attorney Docket No.: TRAN-P022

Inventor(s): Linus Torvalds and Peter H. Anvin Group Art Unit:  
Filed: 10/13/99 ✓ Examiner:  
Serial No.: 09/417,979 ✓  
Title: METHOD OF CHANGING MODES OF CODE GENERATION

RECEIVED  
JAN 30 2003  
Technology Center 2100

The Commissioner of Patents and Trademarks  
Washington, D.C. 20231

Sir:

Information Disclosure Statement Submitted Pursuant to 37 C.F.R. 1.97(b)

The citations referenced herein, copies attached, may be material to the examination of the above-identified application and are, therefore, submitted in compliance with the duty of disclosure as defined in 37 C.F.R. 1.56. The Examiner is requested to make these citations of official record in the application.

This Information Disclosure Statement submitted in accordance with 37 C.F.R. 1.97(b) is not to be construed as a representation that a search has been made, that additional items material to the examination of this application do not exist, or that any one or more of these citations constitute prior art under 35 U.S.C. 102.

The Examiner's attention is respectfully directed to the following U.S. Patents:

| Pat. No.  | Pat. Title  | Grant Date |
|-----------|---|------------|
| 5,724,590 | TECHNIQUE FOR EXECUTING TRANSLATED SOFTWARE   | 03/03/98   |
| 5,832,205 | MEMORY CONTROLLER FOR MICROPROCESSOR FOR<br>DETECTING A FAILURE OF SPECULATION ON THE PHYSICAL<br>NATURE OF A COMPONENT BEING ADDRESSED | 11/03/98   |
| 6,031,992 | COMBINING HARDWARE AND SOFTWARE TO PROVIDE AN<br>IMPROVED MICROPROCESSOR  | 02/29/00   |

The Examiner's attention is respectfully directed to the following documents:

Chang et al.; "DISTRIBUTING COMPUTATIONAL PROCESSES AN ATTACHED RISC PROCESSOR BY CODE TRANSLATION"; pages 49-52; IEEE Tencon; Dept. of Computer Science; 1993; Hong Kong

Chen et al.; "MICROARCHITECTURE SUPPORT FOR IMPROVING THE PERFORMANCE OF LOAD TARGET PREDICTION"; pages 228-234; IEEE; Dept. of ELECTRONIC ENGINEERING; 1997; Taiwan

Glossner et al.; "DELFT-JAVA DYNAMIC TRANSLATION"; pages 57-62; IEEE; IBM Research, Delft University of Tech.; 1999; New York, The Netherlands

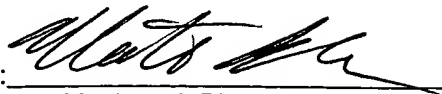
Carol Thompson; "JAVA ON IA-64"; pages 41-47; October 1998

Please direct all correspondence concerning the above-identified application to the following address:

WAGNER, MURABITO & HAO LLP  
Two North Market Street, Third Floor  
San Jose, California 95113  
(408) 938-9060

Respectfully submitted,

Date: 23 Jan 2003

By:   
Matthew J. Blecher  
Reg. No. 46,588

# Information Disclosure Statement Transmittal

|  |          |   |                          |
|--|----------|---|--------------------------|
| I hereby certify that this transmittal of the below described document is being deposited with the United States Postal Service in an envelope bearing First Class Postage and addressed to the Commissioner of Patents and Trademarks, Washington, D.C., 20231, on the below date of deposit. |          |   |                          |
| Date of Deposit:   | 01/23/03 | Name of Person Making the Deposit:          | KATHERINE RINALDI        |
|  |          | Signature of the Person Making the Deposit: | <i>Katherine Rinaldi</i> |

## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Inventor(s): Linus Torvalds and Peter H. Anvin Group Art Unit:

Filed: 10/13/99 Examiner:

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Title: METHOD OF CHANGING MODES OF CODE GENERATION

The Commissioner of Patents and Trademarks  
Washington, D.C. 20231  
Sir:

### Information Disclosure Statement Transmittal

Transmitted herewith is the following:

- ..... Formal drawings, totaling ..... sheets.
- ..... Informal drawings, totaling ..... sheets.
- ..... Certification for PTO Consideration
- ..... Information Disclosure statement (\_\_\_ sheets)
- ☒ Information Disclosure statement and late filing fee
- ☒ Form 1449
- ..... Petition for Extension of Time
- ☒ Other: References

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### Fee Calculation (for other than a small entity)

| Fee Items   | Fee Rate | Total           |
|---|----------|-----------------|
| Petition for Extension of Time (fee calculated elsewhere) | \$ .00   | \$0.00          |
| Information Disclosure Statement, late filing             | \$180.00 | \$180.00        |
| Other:  |          | \$0.00          |
| <b>Total Fees</b>   |          | <b>\$180.00</b> |

### PAYMENT OF FEES

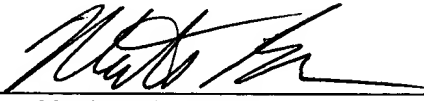
1. The full fee due in connection with this communication is provided as follows:
  - [X] The Commissioner is hereby authorized to charge any additional fees associated with this communication or credit any overpayment to Deposit Account No.: 23-0085.  
A duplicate copy of this authorization is enclosed.
  - [X] A check in the amount of \$180.00
  - [ ] Charge any fees required or credit any overpayments associated with this filing to Deposit Account No.: 23-0085.

Please direct all correspondence concerning the above-identified application to the following address:

**WAGNER, MURABITO & HAO LLP**  
Two North Market Street, Third Floor  
San Jose, California 95113  
(408) 938-9060

Respectfully submitted,

Date: 27 Jan 2003

By:   
Matthew J. Blecher  
Reg. No. 46,558